



PATENT Attorney Docket No.: 2901US(203-3634PCTUS) IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Chapman et al.

Examiner:

Not Assigned

Serial No.:

10/552,666

Group:

Art Unit 3739

Filed:

October 4, 2005

Dated:

May 24, 2007

For:

ELECTROSURGICAL INSTRUMENT WHICH REDUCES

THERMAL DAMAGE TO ADJACENT TISSUE

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to Applicant(s) duty of disclosure, the information listed in the attached form PTO-1449 is brought to the attention of the Examiner. It is respectfully submitted that copies of the U.S. references cited are not required since this application was filed after June 30, 2003 pursuant to 51 C.F.R. 1.98. Copies of foreign references and publications cited are enclosed.

The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that the references are prior art. The items listed are submitted in good faith, but are not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner of these particular items, they will assist in identifying fields of search and in making as full and complete a search as possible.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Mail Stop Amendment Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on date below.

Dated: May 24, 2007

Edward C. Meagher

The filing of this information disclosure statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

- [] This information disclosure statement is being filed within three (3) months of the filing date of this application.
- [] This information disclosure statement is being filed within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application.
- [] Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114.
- [X] To the best of Applicant(s) knowledge, this information disclosure statement is being filed before the date of mailing of a first Office Action on the merits in connection with this case.
- [] Enclosed herewith is a certificate under 37 C.F.R. §1.97(e)(1).
- [] Enclosed herewith is a petition under 37 C.F.R. §1.97(d)(2).
 - [] Enclosed by check is the petition fee of \$130.00. (37 C.F.R. §1.17(i)(1))
 - [] Please charge the \$130.00 petition fee to Deposit Account No. 21-0550.
- [] Enclosed by check is the \$180.00 fee required by 37 C.F.R. §1.17(p).
- [] Please charge the \$180.00 fee required by 37 C.F.R. §1.17(p) to Deposit Account No. 21-0550.

[X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account 21-0550. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 21-0550 therefor.

TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

The claims of the application as now presented are believed to patentably distinguish over the prior art and to be in condition for allowance. Early and favorable consideration of the case is respectfully requested.

Respectfully submitted,

Edward . Meagher Reg No. 41.189

Attorney for Applicant(s)

Carter, DeLuca, Farrell & Schmidt, LLP 445 Broad Hollow Road Suite 225 Melville, New York 11747

Tel.: (631) 501-5700

Fax: (631) 501-3526

ECM/gm

MAY 2 9 2007 W

without references

Sheet

1 of

6

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

Docket Number (Optional) 2901US(203-3634PCTUS) Application Number 10/552,666

Applicant

Chapman et al.

(Use several sheets if necessary)

Filing Date October 4, 2005 Group Art Number 3739

U.S. PATENT DOCUMENTS

Examiner	Document					Filing Date
Initial	Number	Date	Name	Class	Subclass	if Appropriate
	3,970,088	7/20/76	MORRISON	ļ		
	3,987,795	10/26/76	MORRISON	ļ		
	4,041,952	8/16/77	MORRISON, JR. ET AL.			
	4,043,342	8/23/77	MORRISON, JR.	<u> </u>		
	4,112,950	9/12/78	PIKE	<u> </u>		
	4,127,222	11/28/78	ADAMS			
	4,128,099	12/5/78	BAUER	<u> </u>		
	4,416,276	11/22/83	NEWTON ET AL.	<u> </u>		
	5,084,057	1/28/92	GREEN ET AL.			
	5,190,541	3/2/93_	ABELE ET AL.			
	5,196,009	3/23/93	KIRWAN, JR.			
	5,217,460	6/8/93	KNOEPFLER			
	5,368,600	11/29/94	FAILLA ET AL.			
	5,396,900	3/14/95	SLATER ET AL.			
	5,480,409	1/2/96	RIZA			
	5,496,347	3/5/96	HASHIGUCHI ET AL.			
	5,542,945	8/6/96	FRITZSCH			
	5,562,699	10/8/96	HEIMBERGER ET AL.			
	5,573,534	11/12/96	STONE			
	5,578,052	11/26/96	KOROS ET AL.			
	5,611,798	3/18/97	EGGERS			
	5,665,100	9/9/97	YOON			
	5,681,282	10/28/97	EGGERS ET AL.			
	5,772,655	6/30/98	BAUER ET AL.			
	5,772,670	6/30/98	BROSA			
	5,807,393	9/15/98	WILLIAMSOM, IV ET AL.			

Examiner

Date Considered

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP \$609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

Form PTO-1449

Applicant Chapman et al.

(Use several sheets if necessary)

Filing Date Group Art Number
October 4, 2005 3739

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	5,810,877	9/22/98	ROTH ET AL.			- · · · · · · · · · · · · · · · · · · ·
	5,860,976	1/19/99	BILLINGS ET AL.			
	5,893,877	4/13/99	GAMPP, JR. ET AL.			
	5,911,719	6/15/99	EGGERS			
	5,925,043	7/20/99	KUMAR ET AL.	1		
	5,957,923	9/28/99	HAHNEN ET AL.			
	6,030,384	2/29/00	NEZHAT			
	6,059,782	5/9/00	NOVAK ET AL.			
	6,117,158	9/12/00	MEASAMER ET AL.			
	6,123,701	9/26/00	NEZHAT			
	6,162,220	12/19/00	NEZHAT			
	6,206,876	3/27/01	LEVINE ET AL.			
	6,217,602	4/17/01	REDMON			
	6,221,039	4/24/01	DURGIN ET AL.			
	6,270,497	8/7/01	SEKINO ET AL.			
	6,345,532	2/12/02	COUDRAY ET AL.			
	6,402,747	6/11/02	LINDEMANN ET AL.			
	6,443,952	9/3/02	MULIER ET AL.			
	6,464,701	10/15/02	HOOVEN ET AL.			
	6,527,771	3/4/03	WEADOCK ET AL.			
	6,641,595	11/4/03	MORAN ET AL.			
	6,656,177	12/2/03	TRUCKAI ET AL.			
	6,669,696	12/30/03	BACHER ET AL.			
	6,685,724	2/3/04	HALUCK			
	6,726,068	4/27/04	MILLER			
	6,733,498	5/11/04	PATON ET AL.			
	6,770,072	8/3/04	TRUCKAI ET AL.			
	6,773,434	3/20/03	CIARROCCA			
	6,776,780	8/17/04	MULIER ET AL.			-
Ī	6,790,217	9/14/04	SCHULZE ET AL.			
	6,802,843	10/12/04	TRUCKAI ET AL.	1		

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

without references

Sheet

3 of

	without reference			Sheet		of
INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Docket Number (Optional) 2901US(203-3634F	CTUS)	Application 10/552		
		Applicant Chapman et al.				
(L	Jse several sheets if nec	essary)	Filing Date)5	Group Art N	umber 3739
			October 4, 200	JO	<u> </u>	3739
Examiner	Document	U.S. PATEN	r documents		T	Filing Date
Initial	Number	Date	Name	Class	Subclass	if Appropria
	D525,361	7/18/06	HUSHKA			
	D531,311	10/31/06	GUERRA ET AL.			
	D533,942	12/19/06	KERR ET AL.			
-	D535,027	1/9/07	JAMES ET AL.			
		U.S. PATEN	T DOCUMENTS			
Examiner Initial	Publication Number	Date	Name	Class	Subclass	Filing Date if Appropria
	2002/0049442 A1	4/25/02	ROBERTS ET AL.			
	2003/0078578 A1	4/24/03	TRUCKAI ET AL.			
	2003/0114851 A1	6/19/03	TRUCKAI ET AL.			
	2003/0216732 A1	11/20/03	TRUCKAI ET AL.			
	2004/0030330 A1	2/12/04	BRASSELL ET AL.			
	2004/0030332 A1	2/12/04	KNOWLTON ET AL.			
	2004/0064151 A1	4/1/04	MOLLENAUER			
	2006/0064085 A1	3/23/06	SCHECHTER ET AL.			
	2006/0129146 A1	6/15/06	DYCUS ET AL.			
	2006/0161150 A1	7/20/06	KEPPEL			
	2006/0167450 A1	7/27/06	JOHNSON ET AL.			
	2006/0167452 A1	7/27/06	MOSES ET AL.			
	2006/0173452 A1	8/3/06	BUYSSE ET AL.			
	2006/0189980 A1	8/24/06	JOHNSON ET AL.			
	2006/0189981 A1	8/24/06	DYCUS ET AL.			
	2006/0190035 A1	8/24/06	HUSHKA ET AL.			
	2006/0217709 A1	9/28/06	COUTURE ET AL.			
	2006/0224158 A1	10/5/06	ODOM ET AL.	ļ		
	2006/0259036 A1	11/16/06	TETZLAF ET AL.			
	2006/0264922 A1	11/23/06	SARTOR ET AL.			
	2006/0264931 A1	11/23/06	CHAPMAN ET AL.			
	2006/0271038 A1	11/30/06	JOHNSON ET AL.			
	2007/0043352 A1	2/22/07	GARRISON ET AL.	<u> </u>		
	2007/0043353 A1	2/22/07	DYCUS ET AL.	ļ		
	2007/0055231 A1	3/8/07	DYCUS ET AL.			
	2007/0062017 A1	3/22/07	DYCUS ET AL.	 	<u> </u>	
Examiner				Date C	Considere	d

		with reference	<u> </u>	Sneet	3 0)7		
Form PTO-1449 INFORMATION DISCLOSURE CITATION			Docket Number (Optional) Application Number 2901US(203-3634PCTUS) 10/552,666					
								
IN AN APPLICATION			Applicant Chapman et al.					
(Use several sheets if necessary)			Filing Date October 4	Group Art Number 3739				
		FOREIGN	PATENT DOCUM	ENTS				
	Document Number	Date	Country	Class	Subclass	Translat Yes	tion No	
	DE4303882	8/18/94	DE					
	DE29616210	1/2/97	DE					
	DE19751106	5/28/98	DE					
	DE2415263	10/2/1975	DE					
	DE2627679	1/13/77	DE					
	EP1301135A	4/16/03	EP					
	EP1707143A1	10/4/06	EP					
	EP1645238A1	4/12/06	EP					
	WO89/00757	1/26/89	PCT					
	WO94/20025	9/15/94	PCT					
	WO95/07662	3/23/95	PCT					
	WO95/15124	6/8/95	PCT			·		
	WO96/05776	2/29/96	PCT					
	WO97/10764	3/27/97	PCT					
	WO00/24331	5/4/00	PCT					
	WO00/47124	8/17/00	PCT					
	WO02/07627	1/31/02	PCT					
	WO02/080783	10/17/02	PCT					
	WO02/080784	10/17/02	PCT					
	WO02/080785	10/17/02	PCT					
	WO02/080786	10/17/02	PCT					
	WO02/080793	10/17/02	PCT					
	WO02/080794	10/71/02	PCT					
	WO02/080797	10/17/02	PCT					
	WO02/081170	10/17/02	PCT			•		
	WO04/032777	4/22/04	PCT			•		
	WO04/073490	9/2/04	PCT					
	W004/073753	9/2/04	PCT					
	WO05/004735	1/20/05	PCT					
Examiner				Date Consi	dered			

Examiner: Initial if citation considered, whether or nor citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

with reference	es	Sheet	6 of	6
Form PTO-1449		tional) 03-3634PCTUS)	Application Number 10/552,666	
INFORMATION DISCLOSURE CITATION			_1	
IN AN APPLICATION	Applicant			
		Chapman et a	al.	
(Use several sheets if necessary)	Filing Date		Group Art Number	
	Octob	ber 4, 2005	3739	
OTHER DOO	CUMENTS			
Int'l Search Report EP 05016399				
dated January 5, 2006				
Int'l Search Report EP 06005185.1				
dated April 18, 2006				
Int'l Search Report EP 06008779.8				
dated June 13, 2006				
Int'l Search Report EP 1683496				
dated June 13, 2006				
Int'l Search Report EP 04013772				
dated April 1, 2005				
Int'l Search Report EP 05013895				
dated October 14, 2005				
Int'l Search Report EP 05017281				
dated November 16, 2005				
Int'l Search Report EP 06006716				
dated August 4, 2006				
Int'l Search Report PCT/US01/11224				
dated November 13, 2001				
Int'l Search Report EP 06014461.5				
dated October 20, 2006				
Int'l Search Report EP 06020584.6				
dated January 12, 2007				
Int'l Search Report EP 06020583.8				
dated January 30, 2007				
Int'l Search Report EP 06020756.0				
dated February 5, 2007				
Int'l Search Report EP 06024123.9				
dated February 26, 2007				
Examiner		Date Consi	dered	
Examiner: Initial if citation considered, whether or nor c	itation is in conform	ance with MPEP §609;	Draw line through	

citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.